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# ADAMS & WILKS

ATTORNEYS AND COUNSELORS AT LAW  
50 BROADWAY  
31st FLOOR  
NEW YORK, NEW YORK 10004

jc971 U.S. PTO  
09/910108  
07/20/01

BRUCE L. ADAMS  
VAN C. WILKS

RIGGS T. STEWART  
(1924-1993)

JOHN R. BENEFIEL  
PAUL R. HOFFMAN  
TAKESHI NISHIDA  
FRANCO S. DE LIGUORI

**BY EXPRESS MAIL ON**  
July 20, 2001  
(EK 825 216 716 US)

TELEPHONE  
(212) 809-3700

FACSIMILE  
(212) 809-3704

\* NOT ADMITTED IN NEW YORK  
\* REGISTERED PATENT AGENT

Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

## RECORD OF TRANSMITTAL

INVENTORS: (1) Takashi YAMANAKA  
(2) Masao KASUGA  
(3) Akihiro IINO  
(4) Kenji SUZUKI  
(5) Tomohiro SHIMADA

TITLE: OPTICAL COMMUNICATION DEVICE AND ITS CONTROL METHOD

DOCKET NO: S004-4348

S I R:

Kindly find enclosed herewith for filing the documents checked below. Any fees or charges not covered by the accompanying check should be charged to our Deposit Account No. 01-0268.

### APPLICABLE ITEMS CHECKED:

- XX PATENT APPLICATION (pages 1-22 of specification, 17 claims (pages) 20-22 and abstract of the disclosure (page 23))
- DESIGN APPLICATION (specification, claim, declaration and power of attorney and photograph(s))
- XX FORMAL DRAWINGS (9 sheets) (NI A4)
- INFORMAL DRAWINGS (       sheets)
- RECORDATION FORM COVER SHEET and ASSIGNMENT  
(to be recorded and returned to the undersigned)

09910108-072001

XX PRIORITY CLAIM - applicant(s) claim the benefit of  
priority of the following application(s):

Japanese Patent Appln. No. 2000-227380  
filed July 27, 2000

Japanese Patent Appln. No.  
filed

Japanese Patent Appln. No.  
filed

Japanese Patent Appln. No.  
filed

XX PRIORITY DOCUMENT(s)

       Enclosed XX Not Enclosed

       VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

       OTHER -

XX FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 710.00	\$
<u>0</u> Independent Claims in Excess of 3	\$	\$
<u>0</u> Total Claims in Excess of 20	\$	\$
<u>      </u> Multiple Claim Fee	\$	\$
<u>      </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 710.00	\$

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicant(s)

50 Broadway  
31st Floor  
New York, NY 10004  
(212) 809-3700

By: Bruce L. Adams  
Reg. No. 25,386

67.23

A

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Re: New patent application of Takashi YAMANAKA et al.  
for OPTICAL COMMUNICATION DEVICE AND ITS CONTROL METHOD

comprising transmittal letter, title, specification (pgs.1-22),  
17 claims (pgs.20-22), abstract of the disclosure (pg.23), 9  
sheets of formal drawings containing thereon Figs. 1-9b, express  
mail certification and check in the amount \$710.00

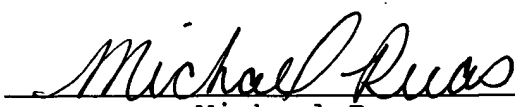
Attorney's Docket No: S004-4348

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Express Mail Tracking Number: EK 825 216 716 US

Date of Deposit: July 20, 2001

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